



Y OF PAPERS
GINALLY FILED

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/014,960	12/11/2001	Harold Aaron Ludtke	SONY 3.0-022

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

CONFIRMATION NO. 6316
FORMALITIES LETTER



OC000000007325304

Date Mailed: 01/17/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/10/2002 SMINASS1 00000078 121095 10014960

01 FC:105 130.00 CH



COPY OF PAPERS
ORIGINALLY FILED

Docket No.: SONY 3.0-022
(PATENT)

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 2681

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

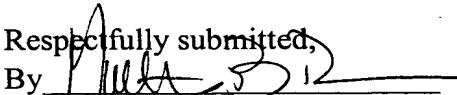
BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

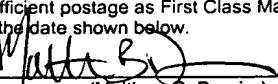
Dated: May 2, 2002

Respectfully submitted,
By 
Matthew B. Dernier
Registration No.: 40,989
LERNER, DAVID, LITTBENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorneys for Applicant

366753_1.DOC

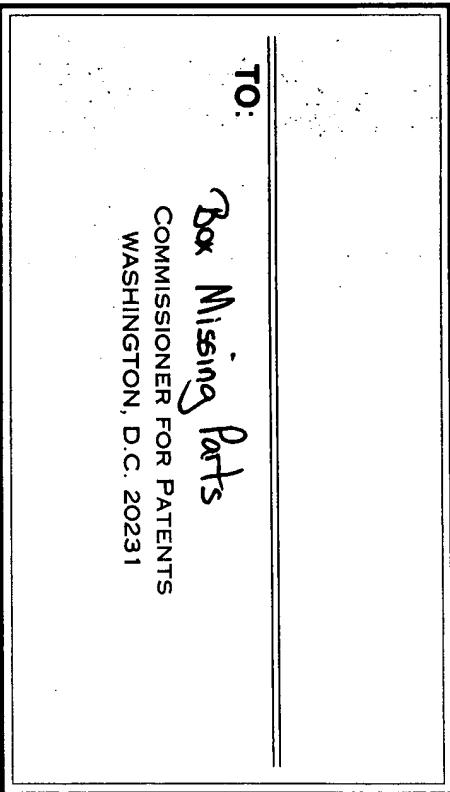
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: May 2, 2002

Signature: 
(Matthew B. Dernier)

LAW OFFICES

LENNER DAVID, LITTMER, KRUMHOLZ & MENTIK, LLP
600 SOUTH AVENUE WEST
WESTFIELD, NEW JERSEY 07090



COPY OF PAPERS
ORIGINALLY FILED

